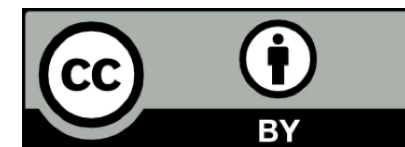




Institutions and Publishers as Partners in Centering Principles and Seeking Alignment of Values

Introductory slides demonstrating a draft scoring matrix for assessing values and principles vs. offer elements in open publishing agreements and negotiations



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Illinois ♦ Indiana ♦ Iowa
Maryland ♦ Michigan ♦ Michigan State
Minnesota ♦ Nebraska ♦ Northwestern ♦ Ohio State
Oregon ♦ Penn State ♦ Purdue ♦ Rutgers ♦ USC
UCLA ♦ Washington ♦ Wisconsin ♦ Chicago



~2M

publications
since 2000

100k+

publications
annually



open
articles
cited

3x

more often than
“closed” pubs

over
50%

are made
open
every year

yet
only

18%

are open at
the time of publication

OPEN PUBLISHING IN THE BIG TEN

An arc of over 20
years

The numbers,
at a high level:
2000 - 2023

NORTH STAR

In order to advance a **just, trustworthy, scalable & sustainable open knowledge ecosystem,**
make **open, more equitable scholarship** our lead purpose

University of Illinois
Indiana University
University of Iowa
University of Maryland
University of Michigan
Michigan State University
University of Minnesota
University of Nebraska

LIBRARIES
OF THE
BIG
ACADEMIC ALLIANCE

Northwestern University
Ohio State University
Pennsylvania State University
Purdue University
Rutgers University
University of Wisconsin
University of Chicago

Strategic Direction

We are **pivoting** from the “buying club” to “open publishing” as the **lead purpose** of our licensing portfolio

This is what we mean by **shifting our investment** from “read” to “publish”

We are in the midst of this shift -
the phase of **operationalizing our values**

OUR NEW QUESTION

we're pretty good at measuring **cost & business processes**;
how might we approach
measuring / quantifying our **values and principles**?

Older:
subscription models

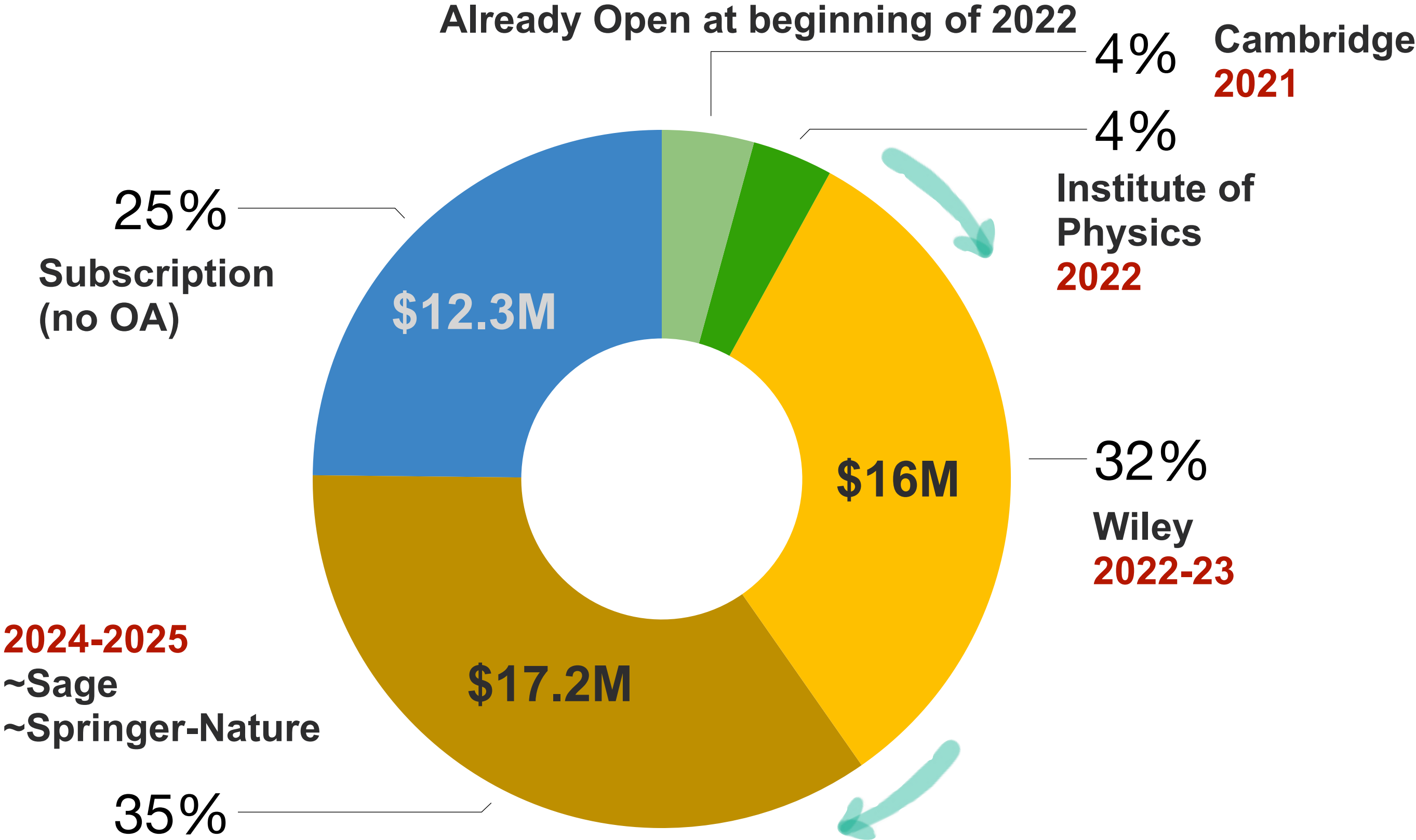
More recent:
Transformative Agreements

Open Publishing

Current state of “Open” in the BTAA licensing portfolio

Direction: Flip the “buying club” to
move toward “open publishing”

COUNT BY \$\$ VALUE



Values and Priorities :

shared with publishers when we open negotiations

establish a fair cost model

- + Research Leads
- + “No fees, no caps, no hassle”
- + publishers and libraries are an aid to research, PARTNERS, rather than a hurdle or check
- + Radically reduce administrative process

simplify the publishing relationship

- + Quality of research leads
- + All venues, all titles are open pathways
- + Rapidly expand the field of opportunities

increase publishing opportunities

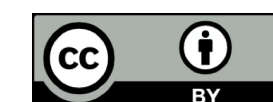
increase OA publications within our membership

- + Equitable access to resources & opportunities for every level of researcher, any stage of career: any venue, every title

remove barriers for our authors and institutions

- + Advance ownership and rights that expedite knowledge sharing and advancing research

give authors greater control of the use and reuse of their scholarship



Elements with Strong Alignment

using Springer's 1st proposal to BTAA as an example

simplify the publishing relationship

single, shared publishing pool inclusive of flagships, branches,
system campuses across BTAA

Central payer, single-invoice, all-BTAA inclusive price *

The same pricing and structure applies for all system and branch campuses

remove barriers for our authors and institutions

Authors keep their rights

Creative Commons default

Privileges CC-BY licenses

* Proposal is silent on Nature titles

Elements with weaker signal

establish a fair cost model

No author-facing publishing fees

Authors would still see publish fees in some parts of the Springer corpus. Nature titles are not addressed

Business model is Non-APC based

The basis of the proposal is in APCs

Publishing-centered agreement

There is both a Read fee and a Publish fee baked in

Cost-neutral - libraries pay no more than they would have otherwise

We are calculating a 5% increase in year one in direct costs to the libraries

Elements with weaker signal

increase publishing opportunities

All journals - includes all journals under the umbrella

The proposal addresses only hybrid journals on the Springer side. Nature journals are not addressed.

increase OA publications within our membership

100% open immediately

May actually be strong alignment here, but is a little unclear - pins a basis in 2023 numbers

Uncapped

Publishing is capped

Elements with weaker signal

remove barriers for our authors and institutions

Author-facing self management tools at the article level (e.g. rights, reuse, etc)

Unknown

Basic minimum accessibility meeting or exceeding BTAA standard language guidelines

Major known issues from August 2023 evaluation;
proposal does not address plan for redressing.

**give authors greater control of the
use and reuse of their scholarship**

Author retains copyright

Unknown

Limited, non-exclusive rights for publisher

Unknown

Retroactive conversion to OA for articles published during the term of the agreement

Limited to 15 days in the proposal

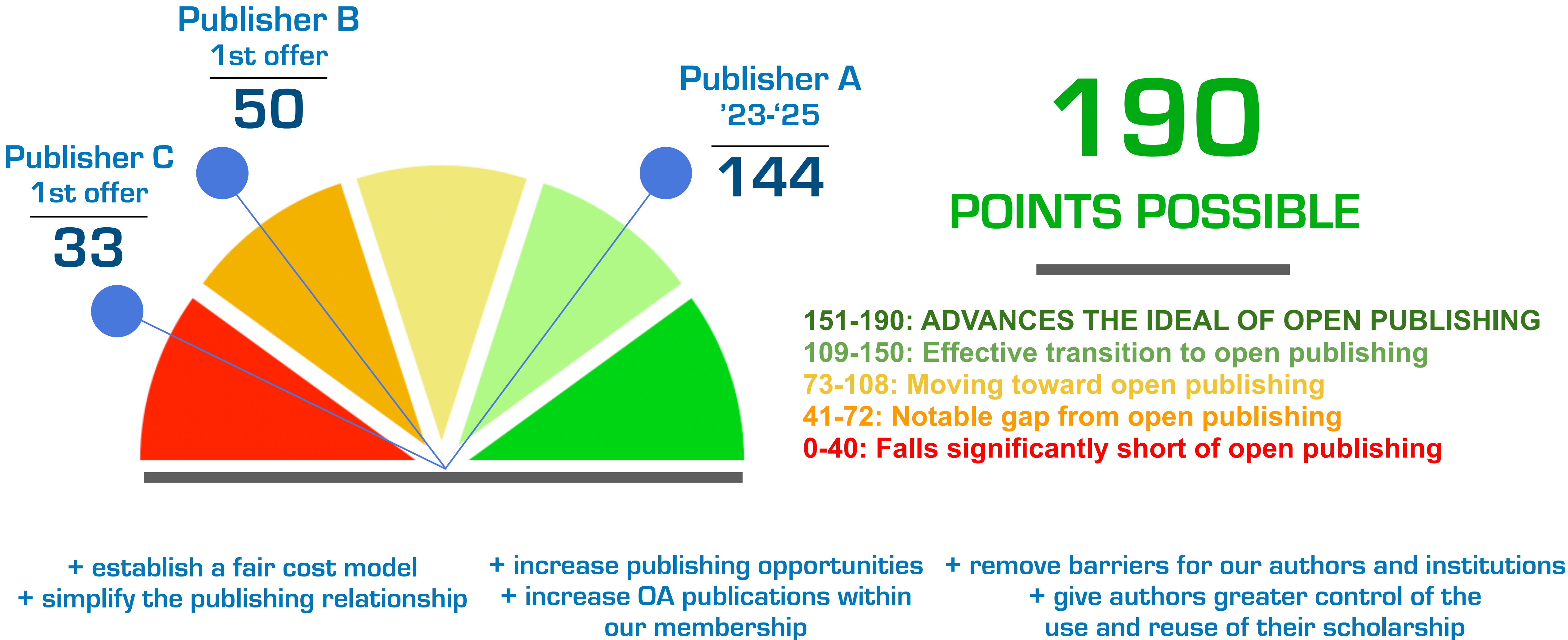
BTAA “Open Publishing Dial” :

A scoring matrix for assessing values and principles vs. offer elements

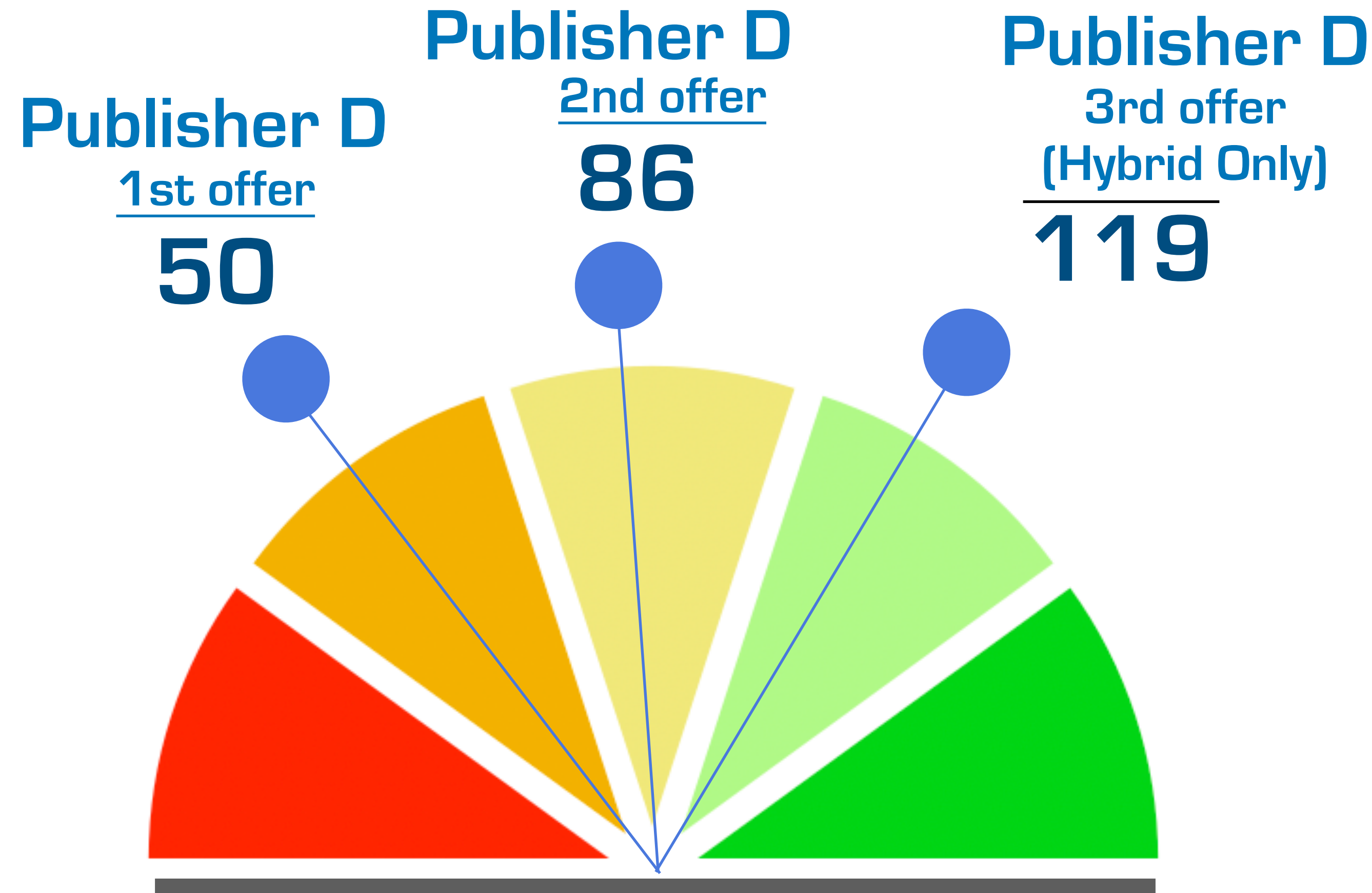
8 elements	+ establish a fair cost model	35 points
4 elements	+ simplify the publishing relationship	20 points
5 elements	+ increase publishing opportunities	25 points
3 elements	+ increase OA publications within our membership	15 points
11 elements	+ remove barriers for our authors and institutions	55 points
8 elements	+ give authors greater control of the use and reuse of their scholarship	40 points

* each element is scored on a simple 5-point scale (1 lowest to 5 highest)

BTAA “Open Publishing Dial”



progress from opening of negotiations



151-190: Sweet spot of open publishing
109-150: Effective transition to open publishing
73-108: Moving toward open publishing
41-72: Notable gap from open publishing
0-40: Falls significantly short of open publishing

BTAA “Open Publishing Dial”

- + establish a fair cost model
- + increase publishing opportunities
- + remove barriers for our authors and institutions
- + simplify the publishing relationship
- + increase OA publications within our membership
- + give authors greater control of the use and reuse of their scholarship

Examples of MEASURING VALUES

increase publishing opportunities

**INTERNAL
VALUE**

All journals

Includes all journals under the publisher's umbrella (i.e., open, hybrid, and speciality)

**PUBLISHER
QUESTION
(EXTERNAL)**

To which type of journals does the agreement pertain?
[five options to choose from]

**EXAMPLE OF
DATA METRIC**

Titles available to all authors with no fee for publishing

increase OA publications within our membership

100% Open

Covers 100% of article output immediately (e.g., is not "stepped" to be credits for 65% of published articles this year; 80% next year; etc)

What level of "open articles" per year does the agreement allow for, compared to "total articles" published annually by our authors?
[five options to choose from]

multi-year momentum of change in % of articles that are open vs. closed

Uncapped

Uncapped publishing (also known as "unlimited")

How is the agreement structured with respect to caps or quotas on the quantity of publishing output?
[five options to choose from]

- presence or absence of credits or quotas;
- author access to credits (per institution or consortia-wide/pooled);
- rate of consumption of credits

2023 performance indicators for BTAA Open Publishing Agreements

Performance of agreements Based on analysis of data from OpenAlex			
	2021	2022	2023
Number of BTAA Open Publishing Agreements	1	2	4
% of Articles published "Open" in BTAA-negotiated Open Publishing Agreements	38%	50%	76%
% of Articles Published "Open" in “all journals” across BTAA universities	49%	51%	55%
Cost Avoidance directly to BTAA faculty and reserchers (in \$ of APC fees avoided)	\$116,909	\$1,367,694	\$9,304,848



This matrix is based on synthesis of a wide variety of internal and public documents created over several years. It represents the viewpoint of negotiators for the BTAA Libraries on what we are searching for in values-based open publishing agreements.

For more on our open publishing strategy, please see the

[Open Scholarship pages on our web site](#)

